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<b>(21) International Application Number:</b> PCT/SE99/00347 <b>(22) International Filing Date:</b> 8 March 1999 (08.03.99)  <b>(30) Priority Data:</b> 9800729-7 6 March 1998 (06.03.98) SE  <b>(71) Applicant (for all designated States except US):</b> SCOTIA LIPIDTEKNIK AB [SE/SE]; P.O. Box 6686, S-113 84 Stockholm (SE).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> CARLSSON, Anders [SE/SE]; S:t Göransgatan 80, S-112 38 Stockholm (SE). EK, Jenny [SE/SE]; Charlottenburgsvägen 34, S-169 53 Solna (SE). HERSLÖF, Bengt [SE/SE]; Brunnärsvägen 2, S-114 21 Stockholm (SE). NILSSON, Göran [SE/SE]; Kristinebergs Strand 3, S-112 52 Stockholm (SE).  <b>(74) Agents:</b> LARFELDT, Helene et al.; Bergensträhle & Lindvall AB, P.O. Box 17704, S-118 93 Stockholm (SE).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> TOPICAL FORMULATION OF THE OIL-IN-WATER TYPE, COMPRISING GALACTOLIPID MATERIAL AS EMULSIFIER, WITH A PROLONGED EFFECT OF AN INCORPORATED ACTIVE SUBSTANCE  <b>(57) Abstract</b>  The invention relates to the use of a topical formulation of the oil-in-water type comprising an oily material, an aqueous phase and an emulsifier, wherein the emulsifier is a galactolipid material, as a carrier for providing a prolonged effect of an incorporated active substance. New topical formulations are also described.		

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TOPICAL FORMULATION OF THE OIL-IN-WATER TYPE, COMPRISING GALACTOLIPID MATERIAL AS EMULSIFIER, WITH A PROLONGED EFFECT OF AN INCORPORATED ACTIVE SUBSTANCE

The present invention refers to a topical formulation of the oil-in-water emulsion type, in which a variety of pharmaceutical or cosmetic compounds can be incorporated, and which after application on the skin gives a prolonged local effect of the incorporated compound.

#### BACKGROUND OF THE INVENTION

Dermatological formulations for topical administration, such as creams, lotions, ointments and gels, are used in pharmacy, medicine and cosmetics for curative and prophylactic treatment of different conditions. It is in general desirable that said formulation brings about a prolonged effect.

There are different areas where there is a continuous need of an improved, that is long lasting, topical treatment as exemplified below.

- People with very dry skin such as atopic dry skin and people who frequently are exposed to water and soap and thus often develop dry skin conditions need to apply a protective cream or ointment to their skin. Examples of people frequently exposed to water and soap are doctors and nurses who must wash their hands and face before examining patients, workers who are handling paints and grease and often need to use strong detergents to clean their hands, and, most common of all, home workers. For these and other categories of people having dry skin conditions a cream or lotion with an extended effect on skin smoothing and moisturising would be preferred.

- The use of hydrocortisone and other steroidal creams is very common in the treatment of local inflammatory conditions in the skin. The systemic absorption of the steroid potentially gives unwanted side-effects. A cream with a sustained release of the active steroid could increase the local effect and decrease the systemic absorption, a very much preferred therapeutic situation, especially in small children.

- The treatment of Athlete's foot or other fungal

infections with topical antifungal or of many skin infections with antibiotics or antivirals requires twice or three times daily applications of the cream or gel to be effective. A once-daily formulation would certainly improve compliance, effectiveness, as well as comfort during treatment.

- The treatment of any other skin condition, including psoriasis, eczemas, other inflammatory disorders, cancers, precancerous conditions, ageing, wrinkling, ultraviolet radiation damage and any other condition which may respond to a topically applied therapeutic agent.

Preferred topical formulations are creams and lotions, that is typically oil-in-water emulsions which spread readily on the skin, leave no detectable residue and adhere to the treated area without being tacky. Said emulsions normally consist of an oil phase, an aqueous phase and an emulsifier. Ointments, which mainly comprises an oil phase, are greasy and form a greasy film on the skin preventing moisture loss. Gels which might be liposomal preparations do not contain any oil. Topical preparations of the oil-in-water emulsion type are generally more appreciated by the user from a cosmetic point of view, but have not previously been claimed to give any extended effect of incorporated substances of dermatological or cosmetological interest. From a dermatological standpoint oil-in-water emulsion type formulations are often preferred, particularly if the number of ingredients can be reduced to a minimum.

#### PRIOR ART

Highly structured vehicles, such as inversed hexagonal and cubic liquid crystals, may exhibit sustained-release properties, either by binding the water or by stiffening the amphiphilic film within the formulation, see Osborne, D.W., et al. in Drugs and the Pharmaceutical Sciences, Swarbrick J.(ed.), Vol. 42 (1990), pp. 374-379. Drug formulations containing liposomes for topical use may give a sustained local effect of the incorporated compound, see Kortling, H.C., et al. in J. Am. Acad. Dermatol., Vol. 25 (1991), pp. 1068-1071. The topical drug

delivery systems described are, however, far more complicated lipid preparations than a topical cream of the oil-in-water emulsion type. For reasons of stability of topical liposomal systems, most authors have proposed a gel base. Gel formulations  
5 are, however, more likely to produce side effects than cream or ointment preparations.

WO 95/03784, Insite Vision Inc., discloses a cross-linked polymeric medicament delivery system containing an interactive agent associated with the polymer, which is said to slow release  
10 of medicament out of the system. The system can be used in dermal formulations but is particularly useful as topical ophthalmic delivery systems. This invention does not relate to any slow release effects of the cream, but on polymeric systems included in the cream. The slow release effects in this system  
15 can be ascribed to the polymeric system.

Topical creams of the oil-in-water emulsion type have not previously been reported as having potential sustained release properties. However, there is a need for topical sustained release formulations, such as oil-in-water emulsions, which are  
20 uncomplicated with respect to compositional design as well as manufacturing. Furthermore, less complicated formulations have a major advantage in that they are less likely to cause irritant or hypersensitivity reactions and hence to be more acceptable as skin care preparations for therapeutic or cosmetic use.

WO 95/20943, Karlshamns LipidTeknik AB, discloses an oil-in-water emulsion comprising 0.01-50 % by weight of a galactolipid material as an emulsifier. Said emulsion is said to be useful as a carrier for active substances in a pharmaceutical composition but also in nutritional, cosmetic, food and  
30 agricultural products. The emulsions do not exhibit any unpleasant odour or taste and are stable towards oxidation. There is, however, nothing stated about an optional sustained effect.

### 35 DESCRIPTION OF THE INVENTION

The present invention relates to an oil-in-water

emulsion for topical application to the skin comprising an emulsifier, an oil phase, and an aqueous phase, into which cosmetic or pharmaceutical substances can be incorporated for the local treatment of various skin conditions and disorders.

5 It has surprisingly been found that a topical cream or lotion of the oil-in-water emulsion type, in which a galactolipid material is used as the emulsifier, and into which a variety of pharmaceutical or cosmetic compounds can be incorporated, after application on the skin gives a sustained  
10 local effect of the incorporated compound.

The present invention refers to a topical formulation of the oil-in-water emulsion type, in which a variety of pharmaceutical or cosmetic compounds can be incorporated, comprising an oily material, an emulsifier being a glycolipid  
15 based material, and an aqueous phase, and which after application on the skin gives a sustained local effect of the incorporated compound.

According to another aspect the invention refers to the use of a topical formulation of the oil-in-water type  
20 comprising an oily material, an aqueous phase and an emulsifier, wherein the emulsifier is a galactolipid material, as a carrier for providing a prolonged effect of an incorporated active substance.

Especially the invention refers to the use of a topical  
25 formulation, which can be a cream or a lotion, comprising 0.1-50 % by weight oily material, preferably 1-40 %, and 0.5-20 % by weight emulsifier.

No particular limitation is imposed on the oily material, that is the non-polar lipid material, of the formulation.  
30 Examples are vegetable oils, animal oils, fatty acids, synthetic oils, mineral oils, natural and synthetic glycerides, sterol esters, fatty alcohols, and other substances, including lipophilic drugs, obvious to a person skilled in the art, which can be emulsified using a polar lipid emulsifier.

35 Preferred oily materials to be emulsified are any fatty acid or a derivative thereof, such as vegetable oils of all

types, such as oils from the seeds and beans of soybean, sunflower, rapeseed (canola), palm, corn, evening primrose, borage, groundnut, sesame, and similar.

There are also synthetic or semi-synthetic glycerides, propanediol derivatives, cholesteryl esters, other esters and other appropriate lipid materials. Another oily material for the emulsion is a medium-chain triacylglycerol (MCT) oil.

There are also many lipids such as free fatty acids, mono-, di- and triacylglycerols, phospholipids, cholesterol esters and lipids and oils of many other <sup>(such as)</sup> types which have therapeutic actions in themselves, such as tea tree oil, and which may be advantageously formulated in the form of a topical cream or optionally lotion. In this case the therapeutically active substance is the oily material, which can also have other bioactive properties.

The emulsifier according to the invention should be a glycolipid, preferably a galactolipid based material. Galactolipids can be defined as glycosylglycerides based on galactose and are well known constituents of plant cell membranes. The most important classes of these contain one to four sugars linked glycosidically to diacylglycerol. The two most abundant classes contain one and two galactose units, respectively, and are commonly known as mono- and digalactosyldiacylglycerol, MGDG and DGDG. Galactolipids, primarily DGDG and DGDG-rich materials, have been investigated and found to be a surface active material of interest in industrial application such as food, cosmetics, and pharmaceutical applications.

Synthetic diglycosyldiacylglycerols based on galactose, optionally in combination with other monosaccharide units, such as glucose, semi-synthetic, and natural glycosylglycerides, isolated from any source, can be used in accordance with the invention.

An intrinsic beneficial feature of the galactolipids is the galactose units comprising the polar head group in each lipid molecule, which may sterically stabilise the emulsion droplets in an emulsion. The galactose groups may also interact strongly

with water and other polar substances, such as a water-soluble drug or an excipient, added to the emulsion.

WO 95/20943 describes the use of DGDG-rich material, a galactolipid material, as an emulsifier in oil-in-water emulsions. Said galactolipid material was prepared from cereals by extraction of the lipids with ethanol and a subsequent purification on a chromatographic column to pure DGDG or a DGDG-rich fraction of polar lipids. The galactolipid emulsifier consists of at least 50 % digalactosyldiacylglycerols and a remainder of other polar lipids and can be used as the galactolipid emulsifier of the invention, preferably in an amount of 1.0-5.0 % by weight. The galactolipid material for instance consists of 70-80 % DGDG and 20-30 % other polar lipids.

According to a preferred embodiment of the invention the galactolipid emulsifier consists of 50-70 % digalactosyldiacylglycerols and 30-50 % other polar lipids. This material is manufactured by Scotia LipidTeknik AB, Stockholm, as CPL®-Galactolipid (registered trade mark owned by Scotia Holdings plc). A preferred topical formulation of the invention comprises CPL®-Galactolipid as the galactolipid material.

WO 97/11141 describes a method for producing a fractionated vegetable oil which is characterised in containing 10-90 % by weight of polar lipids, preferably 20-75 %, and a remainder of non-polar lipids. Said fractionated vegetable oil can also be used as the galactolipid emulsifier of the invention, preferably in an amount of 2.0-10.0 % by weight. The fractionated vegetable oil preferably contains more than 5 % by weight, preferably more than 20 %, glycolipids and preferably more than 3 % by weight, preferably more than 15 %, DGDG.

According to a preferred embodiment of the invention the galactolipid material consists of 40-60 % polar lipids and a remainder of non-polar lipids. A fractionated oat oil of this composition consisting of a wide range of polar and amphiphilic lipids in a continuous triglyceride phase is manufactured by Scotia LipidTeknik AB, Stockholm, as Galactolec™. A preferred



topical formulation comprises Galactolec<sup>TM</sup> as the galactolipid material.

The galactolipid based emulsifier is a safe and non-toxic material for human and veterinary use. It is also an  
5 environmentally friendly material.

Topical formulations, such as creams and lotions, are prepared by using a polar lipid emulsifier either as the sole emulsifier or in combination with other amphiphilic compounds, that is co-surfactants. The formulation may also comprise optional  
10 additives known in the art for improving different aspects of the composition, such as thickening agents, preservatives, antioxidants, fragrance and the like.

The creams according to the invention are characterised by having excellent cosmetic properties. Furthermore, they contain  
15 a minimum number of ingredients, without any stabilising ingredients known to give irritation or sensitisation of the skin. Despite the low numbers of ingredients the creams are extremely stable, with shelf lives of several years.

The active substances can be either water soluble or oil  
20 soluble or amphiphilic, and can be any type of pharmaceutical or cosmetological ingredient suitable for topical preparations, such as moisturising agents, e.g. glycerol, propylene glycol, urea, vitamins, e.g. retinol and tocopheryl esters, anti-inflammatories, e.g. glucocorticosteroids such as  
25 hydrocortisone, hydrocortisone butyrate, chlobetasol, triamcinolone, fluticasone, mometasone and betamethasone, antibiotics, e.g. erythromycin, antivirals, e.g. acyclovir, antifungals, e.g. miconazole, antiseptics, e.g. cetrimide, agents for treating acne, e.g. tretinoin, benzylperoxide,  
30 psoriasis, e.g. dithranol and calcipotriol, senile pruritus, dry skin and wrinkles, cancer and pre-cancerous conditions, such as active keratosis, and UV protecting agents to be included in suntan creams and lotions.

Topical creams according to the invention are prepared by  
35 conventional methods. For example, a cream with 20 % by weight

of oil is prepared by adding the emulsifier to a triacylglycerol oil. The oil phase may also contain oil-soluble additives such as antioxidants and fragrance. The total emulsifier concentration is 1.5 % by weight. The oil phase is then gently mixed. The continuous phase may be pure water or an aqueous solution containing water-soluble additives such as glycerol, preservatives and buffers. A water-soluble active compound, such as glycerol as a moisturiser, may then be added to the aqueous phase; consequently, an oil-soluble compound such as 13-hydroxy-9,11-octadecadienoic acid (13-HODE) is added to the oil phase. Hydrocortisone, an anti-inflammatory drug which is insoluble in both water and oil, may be dispersed in either the aqueous phase or the oil phase. Alternatively, the drug may also be added to the final cream in an extemporaneous preparation. If necessary, the pH of the aqueous phase is adjusted. The oil phase as well as the aqueous phase are preheated to 70°C and then the oil phase is added to the aqueous phase under high-shear mixing. The pre-emulsion is then subjected to homogenisation at 200 psi. After cooling, the cream is transferred to suitable containers.

The invention also refers to the use of a topical formulation of the invention, wherein the incorporated compound is a moisturising compound for the preparation of a medicament for prophylaxis or treatment of atopic dermatitis.

Formulations, that is creams and lotions, having the following, preferred compositions can be prepared accordingly:

Topical cream base giving an incorporated substance a prolonged effect, comprising in % by weight

	Oily material	10.0-30.0 %
30	Galactolipid emulsifier	0.5-5 %
	Thickener	2.0-10.0 %
	Preservative	0.1-1.0 %
	Water	ad 100 %

Topical formulation having a prolonged moisturising effect, comprising in % by weight

	Glycerol	1.0-5.0 %
	Oily material	10.0-30.0 %
	Galactolipid emulsifier	0.5-5 %
	Thickener	2.0-10.0 %
5	Preservative	0.1-1.0 %
	Water	ad 100 %

Topical formulation having a prolonged anti-inflammatory effect, comprising in % by weight

10	Hydrocortisone	0.5-1.5 %
	Oily material	10.0-30.0 %
	Galactolipid emulsifier	0.5-5 %
	Thickener	2.0-10.0 %
	Preservative	0.1-1.0 %
15	Water	ad 100 %

Topical formulation having a prolonged anti-inflammatory effect, comprising in % by weight

	Betamethasone	0.01-0.5 %
20	Oily material	10.0-30.0 %
	Galactolipid emulsifier	0.5-5 %
	Thickener	2.0-10.0 %
	Preservative	0.1-1.0 %
	Water	ad 100 %

25

Topical formulation having a prolonged anti-psoriatic effect, comprising in % by weight

	13-hydroxy-linoleic acid	0.001-0.1 %
	Oily material	10.0-30.0 %
30	Galactolipid emulsifier	0.5-5 %
	Thickener	2.0-10.0 %
	Preservative	0.1-1.0 %
	Water	ad 100 %

35

Different topical formulations with various non-polar oils as the cream base were formulated as described in Examples 1-7.

Typical batch sizes are 0.5 to 1 kg. All concentrations are expressed in percent by weight.

#### EXAMPLES OF FORMULATIONS

##### 5 Example 1. Moisturising cream

###### Oil phase:

CPL®-Soybean oil	20.0 %	Oily material
Cetostearyl alcohol	7.0 %	Thickener
Glyceryl monostearate/citrate	2.0 %	Thickener

10

###### Emulsifier:

CPL®-Galactolipid	1.5 %
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15

###### Aqueous phase:

Glycerol	2.0 %	Moisturiser
Methyl-p-hydroxybenzoate	0.54 %	Preservative
Propyl-p-hydroxybenzoate	0.06 %	Preservative
Water	ad 100 %	

20

The oil and CPL®-Galactolipid were mixed in a beaker and then stirred with a magnetic stirrer until the galactolipid material had dispersed, that is for 30-60 min. The aqueous phase was mixed in another beaker and stirred with a magnetic stirrer.

25

When the oil phase was homogeneous glyceryl monostearate/citrate and cetostearyl alcohol were added. The oil phase and the aqueous phase were both heated to 65-70°C while stirring. The warm oil phase was added to the warm aqueous phase during high-shear mixing (Polytron PT-MR 3000). After addition of the oil phase the pre-emulsification (high-shear mixing) continued for 2 minutes at 15,000 rpm. The pre-emulsion was then homogenised 2 times at 200 psi in an Ultrasonic homogeniser (Branson Minisonic 4). The cream was allowed to cool in a water bath.

35

##### Example 2. Moisturising lotion

###### Oil phase:

CPL®-Evening Primrose oil	12.0 %	Oily material
Cetostearyl alcohol	2.0 %	Thickener
40 Glyceryl monostearate/citrate	2.0 %	Thickener
Ascorbyl palmitate	0.02 %	Antioxidant

###### Emulsifier:

CPL -Galactolipid 1.0 %

Aqueous phase:

	Glycerol	2.0 %	Moisturiser
5	Methyl-p-hydroxybenzoate	0.54%	Preservative
	Propyl-p-hydroxybenzoate	0.06%	Preservative
	Fragrance	0.1 %	
	Water	ad 100 %	

The lotion was prepared in the same way as the cream in Example 1, that is CPL®-Evening Primrose Oil, CPL®-Galactolipid and ascorbyl palmitate were mixed in a beaker and stirred until the galactolipid material had dispersed properly, that is for 30-60 minutes. The rest of the ingredients was added to the oil phase which was then heated to 70°C. The aqueous phase was prepared in another beaker and heated to 70°C. The oil phase was added to the aqueous phase during high-shear mixing. After addition of the oil phase the high-shear mixing, that is pre-emulsification, continued for 2 min at 15,000 rpm. The pre-emulsion was homogenized twice at 200 psi in an Ultrasonic homogeniser (Branson Minisonic 4). The lotion was allowed to cool in a water bath. The fragrance was added to the cool, that is 35°C, lotion.

Example 3. Moisturising cream

Oil phase:

25	CPL®-Evening Primrose oil	20.0 %	Oily material
	Cetostearyl alcohol	7.0 %	Thickener
	Glyceryl monostearate/citrate	2.0 %	Thickener
	Ascorbyl palmitate	0.02%	Antioxidant

Emulsifier:

Galactolec<sup>TM</sup> 3.0 %

Aqueous phase:

	Glycerol	2.0 %	Moisturiser
35	Methyl-p-hydroxybenzoate	0.63%	Preservative
	Propyl-p-hydroxybenzoate	0.07%	Preservative
	Water	ad 100 %	

The cream was prepared as described in Example 1.

The cream had the following appearance in the microscope. Small regular to irregular droplets of uniform size evenly distributed in the sample. The average droplet size, estimated by comparison with a ruler installed in the microscope, was

found to be in the range of 5-10  $\mu\text{m}$ .

Example 4. Cream base

5	Oil phase:		
	Olive oil	20.0 %	Oily material
	Cetostearyl alcohol	7.0 %	Thickener
	Glyceryl monostearate	2.0 %	Thickener
10	Emulsifier:		
	CPL®-Galactolipid	1.0 %	
	Aqueous phase:		
	Methyl-p-hydroxybenzoate	0.54 %	Preservative
15	Propyl-p-hydroxybenzoate	0.06 %	Preservative
	Tri-sodium citrate dihydrate	0.035%	pH-modifier
	Citric acid (aq.)	q.s. pH 3.5	pH-modifier
	Water	ad 100 %	

The cream was prepared as described in Example 1.

20

Example 5. Cream base

	Oil phase:		
	Medium-chain triglyceride oil	10.0 %	Oily material
	Cetostearyl alcohol	7.0 %	Thickener
25	Glyceryl monostearate	2.0 %	Thickener
	Emulsifier:		
	CPL®-Galactolipid	1.0 %	
30	Aqueous phase:		
	Methyl-p-hydroxybenzoate	0.18 %	Preservative
	Propyl-p-hydroxybenzoate	0.02 %	Preservative
	Water	ad 100 %	

35 The cream was prepared as described in Example 1.

Example 6. Anti-inflammatory cream

	Oil phase:		
	Hydrocortisone	1.0 %	Active substance
40	CPL®-Evening Primrose oil	20.0 %	Oily material
	Cetostearyl alcohol	7.0 %	Thickener
	Glyceryl monostearate	2.0 %	Thickener
	Ascorbyl palmitate	0.02 %	Antioxidant
45	Emulsifier:		
	CPL®-Galactolipid	1.5 %	
	Aqueous phase:		
	Glycerol	2.0 %	Moisturiser
50	Methyl-p-hydroxybenzoate	0.63 %	Preservative

Propyl-p-hydroxybenzoate	0.07 %	Preservative
Water	ad 100 %	

Hydrocortisone was added to the mixture of oil and galacto-  
 5 lipid. Otherwise the cream was prepared as described in Example 1.

#### Example 7. Anti-inflammatory cream

Oil phase:		
10	Betamethasone, dipropionate	0.05 % Active substance
	CPL®-Evening Primrose oil	20.0 % Oily material
	Cetostearyl alcohol	7.0 % Thickener
	Glyceryl monostearate	2.0 % Thickener
	Ascorbyl palmitate	0.02 % Antioxidant
15	Emulsifier:	
	CPL®-Galactolipid	1.5 %
Aqueous phase:		
20	Glycerol	2.0 % Moisturiser
	Methyl-p-hydroxybenzoate	0.63 % Preservative
	Propyl-p-hydroxybenzoate	0.07 % Preservative
	Water	ad 100 %

25 A comparable cream is obtained if betamethasone, dipropionate 0.05 % is replaced by betamethasone, valerate 0.1 %.

#### Example 8. Anti-psoriatic cream

Oil phase:		
30	13-HODE	0.01 % Active substance
	CPL®-Evening Primrose oil	20.0 % Oily material
	Cetostearyl alcohol	7.0 % Thickener
	Glyceryl monostearate	2.0 % Thickener
	Ascorbyl palmitate	0.02 % Antioxidant
35	Emulsifier:	
	CPL®-Galactolipid	1.5 %
Aqueous phase:		
40	Methyl-p-hydroxybenzoate	0.63 % Preservative
	Propyl-p-hydroxybenzoate	0.07 % Preservative
	Water	ad 100 %

A small amount, about 5 %, of the oil mixture was added to 13-  
 45 HODE (13-hydroxy-linoleic acid, from Scotia Pharmaceuticals Ltd, Carlisle). This mixture was not heated like the rest of the oil phase and was added separately during the pre-emulsification

step. Otherwise the cream was prepared as in Example 1.

## EXPERIMENTAL TESTS

### Tests of skin smoothing and moisturising properties.

- 5        The aim of the studies was to evaluate the moisturising and smoothing properties of creams of the invention after use twice daily for 14 days. Twenty healthy female volunteers aged 18 to 60 years were studied.

The test creams had the following compositions:

	Cream A	Cream B	Cream C
Oil phase:			
CPL®-Evening Primrose oil	20.0 %	20.0 %	20.0 %
Cetostearyl alcohol	7.0 %	7.0 %	7.0 %
Ascorbyl palmitate	0.02 %	0.02 %	0.02 %
Emulsifier:			
CPL®-Galactolipid	0.75 %	0.75 %	1.5 %
Aqueous phase:			
Glycerol	-	2.0 %	2.0 %
Methyl-p-hydroxybenzoate	0.54 %	0.54 %	0.63 %
Propyl-p-hydroxybenzoate	0.06 %	0.06 %	0.07 %
Water	ad 100 %	ad 100 %	ad 100 %

- 25 All creams were prepared in the following way: The CPL®-Evening Primrose oil, CPL®-Galactolipid and ascorbyl palmitate were mixed in a beaker and then stirred with a magnetic stirrer until the galactolipid was completely dispersed, that is for 30-60 min. The aqueous phase was mixed in another beaker and stirred with a magnetic stirrer. When the oil phase was homogeneous, cetostearyl alcohol was added. The oil phase and the aqueous phase were both heated to 55°C while stirring. The warm oil phase was added to the warm aqueous phase during high-shear mixing (Polytron PT-MR 3000). After addition of the oil phase
- 30 the pre-emulsification continued for 2 min at 15,000 rpm. The pre-emulsion was then homogenised 6 times at 200 psi in an Ultrasonic homogeniser (Branson Minisonic 4). The cream was allowed to cool in a water bath.

- 40 On the first day of the study the subjects were instructed as to the proper manner of application of the products. The creams were then applied by the subjects at home



once in the morning and once in the evening as part of the daily body care routine.

An amount approximating the usual applied amount of skin care cream (one fingertip full, approximately 0.2 ml) was taken from the respective container, applied to the test fields noted on the container and rubbed in with the finger. The test fields were not marked during the application period. In order to locate the test fields, the inside of the forearm was optically divided into thirds. The middle third was defined as the lower test field and the upper third as the upper test field. An area the width of two fingers was left free between the two test fields on the underarm. A field on the inside of the upper arm served as the upper test field. The subjects were given a stencil to simplify locating the boundary between the lower and middle field on each arm.

The subjects were instructed that the finger used to apply the creams had to be carefully cleaned with a dry cloth between applications to avoid mixing of the test preparations.

Skin moisture was assessed using a device for determining the capacitance of the skin surface (Corneometer CM 820, Courage & Khazaka, Cologne). The capacity of a conductor (the more or less moist stratum corneum on the skin surface) to store an electric charge is recorded using this method. The instrument probe was held onto the skin without pressing for a brief, defined interval. Five measurements were made per test field. The mean of the five measurements was recorded on-line.

Following the measurement of skin moisture, a negative replica of the skin was made using 2-component silicone rubber impression material (Xantopren® L, Fa. Bayer Dental, Leverkusen, Germany). The subjects laid the stretched but relaxed arms on special arm rests with the inner surface facing upwards. A surface of approximately 8 x 8 cm in the centre of the test fields was thinly covered with the impression mass mixed with hardener. Approximately 3 min were required for setting. The replicas were peeled off after 8 min. Labels were pressed into the lower edge of the hardening mass. These serve for

identification as well as marking of the alignment.

The surface of the silicone replicas was scanned using a Hommel-Tester T2000 (Hommelwerke, Schwenningen, Germany). The path and speed of scanning were controlled over the software.

- 5 The surface was characterised by the roughness parameter  $R_z$  (DIN). Each replica was measured in a star-shaped fashion in 12 directions ( $30^\circ$  angles).

- Skin moisture was measured and replicas taken immediately before the first application of treatments (baseline) and on study days 15, 16 and 17. The measurements on day 15 were performed 12 to 16 hours after the last application. The measurements on day 16 and 17 were performed 36 to 40 h and 60 to 64 h, respectively, after the last application. The silicone replicas were made directly following the corneometer measurements. The results are presented in Table 1 and 2.

- Cream A did not lead to any improvement at all in skin moisture. The incorporation of an active moisturising agent (glycerol) in Cream B resulted in a clearly demonstrated moisturising effect as expected. Unexpectedly though, the effect was also long lasting.

Table 1. Skin moisturisation.

	Comparison	Moisturisation
25	Cream A (no active) day 0 vs. day 15	-1.3 %
	day 0 vs. day 16	-0.9 %
	Cream B (glycerol) day 0 vs. day 15	+6.3 %**
	day 0 vs. day 16	+7.3 %**
30	Cream C (glycerol) day 0 vs. day 15	+16.9 %**
	day 0 vs. day 16	+12.7 %**
	day 0 vs. day 17	+6.6 %**
	* = $p < 0.1$ ** = $p < 0.05$	

Table 2. Skin roughness.

	Comparison	Smoothing
35	Cream C (glycerol) day 0 vs. day 15	+6.3 %*
	day 0 vs. day 16	+6.6 %**
	day 0 vs. day 17	+3.3 %*
	* = $p < 0.1$ ** = $p < 0.05$	

- 40 The sustained effect found for Cream B was even more pronounced for Cream C which contained a higher content of

the galactolipid based emulsifier. Conventional creams containing glycerol have not been reported to exhibit any sustained moisturising effect at all. The results presented in Table 1 and 2 clearly and surprisingly demonstrate a  
 5 moisturising as well as a smoothing effect which last for at least three days after the last application.

The test of skin smoothing and moisturising properties of creams was repeated with slightly different cream compositions. The test creams had the following  
 10 compositions:

		Cream D	
Oil phase:			
	CPL®-Evening Primrose oil	20.0	%
	Cetostearyl alcohol	7.0	%
15	Glyceryl stearate	2.0	%
	Ascorbyl palmitate	0.02	%
Emulsifier:			
20	CPL®-Galactolipid	1.5	%
Aqueous phase:			
	Glycerol	2.0	%
	Methyl-p-hydroxybenzoate	0.63	%
	Propyl-p-hydroxybenzoate	0.07	%
25	Water	ad 100 %	

In creams E, F and G the CPL® - Evening primrose oil was replaced by the same amount, i.e. 20 % of soybean oil, MCT oil and liquid paraffin oil, respectively. All other ingredients  
 30 and the amounts of each were as in cream D.

Obviously the prolonged moisturising effect is dependent of the choice of oil as shown in Table 3 below. However, all four creams based on the oil-in-water emulsion type, described in the present invention, have a general ability of prolonging  
 35 the moisturising effect compared to commercially available creams.

Table 3. Skin moisturisation

		Comparison	Moisturisation
40	Cream D	day 0 vs. day 15	+8.4 %**
	(Evening primrose oil)	day 0 vs. day 16	+10.9 %**
		day 0 vs. day 17	+6.0 %**

	Cream E	day 0 vs. day 15	+6.7 %**
	(Soybean oil)	day 0 vs. day 16	+4.3 %
		day 0 vs. day 17	+1.7 %
5	Cream F	day 0 vs. day 15	+8.8 %**
	(MCT oil)	day 0 vs. day 16	+6.3 %**
		day 0 vs. day 17	+5.8 %*
10	Cream G	day 0 vs. day 15	+7.2 %**
	(Liquid paraffin oil)	day 0 vs. day 16	+6.5 %**
		day 0 vs. day 17	+2.4 %

\* =  $p < 0.1$     \*\* =  $p < 0.05$

#### A consumer test

15        Thirty human volunteers participated in a consumer test of  
cream D described above. All subjects were regular users of  
emollients and moisturisers; eighteen subjects because of  
having atopic dry skin and twelve subjects because of necessary  
frequent exposure to detergents and water, e.g. during work at  
20 hospitals.

All subjects received one tube containing 100 ml of the  
cream and a questionnaire to fill in prior to, during and after  
having used the cream for two days.

25        The questionnaire was divided into six parts. The first  
part covered the background, sex, date of birth, the reason for  
and the frequency of using emollients etc. Parts two, three,  
four and five covered questions related to: the immediate  
reaction, 5-10 minutes later, after washing of hands, and after  
two days of using the cream, respectively. Part six covered  
30 "Further comments". In parts two, three, four and five, the  
question raised was, "To what extent do you agree with the  
following statements?" The extent of agreement could be given a  
score between 0 and 10, where 0 meant "No, not at all" and a  
score of 10 meant "Yes, definitely". For practical reasons the  
35 score results were grouped together according to the following:

0-2: No, not at all

3-7: Yes, to a certain degree

8-10: Yes, definitely

The moisturising effect was found to be more long lasting

than what is normally experienced with this type of products. It is also clear from the results presented in Table 4 that washing the skin is not detrimental to the effect, which is normally the case when using emollients and moisturisers. It makes it much easier to keep the skin smooth and supple and to avoid dryness. The cream was not found to be irritating to dry and sensitive skin. It seems to be very well tolerated also by persons with atopic dry skin.

#### 10 Table 4.

To what extent do you agree with the following statements?

		No. of subjects giving the score		
		Extent of agreement		
		0-2	3-7	8-10
Statement				
15	<i>Immediate reaction</i>			
	"The cream is easily absorbed into the skin"	3	13	14
	"The cream is greasy on my skin"	10	14	5
	"The odour of the cream is unpleasant"	22	7	1
20	"The cream irritates the skin"	30	0	0
	"The skin feels smooth and supple"	1	4	25
	<i>5-10 minutes later</i>			
	"The cream is greasy on my skin"	24	4	2
25	"The odour of the cream is unpleasant"	22	5	1
	"The skin feels smooth and supple"	0	6	24
	"The skin is dry"	25	4	1
	<i>After washing of hands (with soap and water)</i>			
30	"The skin still feels smooth and supple"	4	5	21
	"The skin is dry again"	23	2	5
	<i>After two days of using the cream</i>			
	"I like the cream"	1	7	20
35	"The effect of the cream is long lasting"	4	8	16

#### A pilot study on children having atopic dry skin

The study was performed at two Swedish hospitals by dermatologists specialised in the field of atopic dermatitis. Twenty children were treated for two months with cream D described above. The age of the children varied between one and twelve years and they were all having widespread atopic dry skin, regularly developing into periods of acute atopic dermatitis. The dermatitis was treated in the normal way, i.e.

with glucocorticoids of varying potencies. Treatment with cream D was started at a stage with no acute dermatitis and the cream was applied only once daily at bedtime.

- 5 Preliminary results strongly indicate the potential of cream D with respect to its ability to decrease frequency as well as seriousness of the periods of atopic dermatitis. Furthermore, the previously necessary amounts of glucocorticosteroids used could be significantly reduced if cream D was used to prevent skin from being dry and sensitive.

## CLAIMS

1. A topical formulation of the oil-in-water emulsion type, in which a variety of pharmaceutical or cosmetic compounds can be incorporated, comprising an oily material, an emulsifier and an aqueous phase, wherein the emulsifier is a glycolipid based material, and which after application on the skin gives a prolonged local effect of the incorporated compound.
2. A topical formulation according to claim 1, comprising 0.1-50 % by weight oily material and 0.5-20 % by weight galactolipid emulsifier.
3. Use of a topical formulation of the oil-in-water type comprising an oily material, an aqueous phase and an emulsifier, wherein the emulsifier is a galactolipid material, as a carrier for providing a prolonged effect of an incorporated active substance.
4. Use according to claim 3, wherein the topical formulation comprises 0.1-50 % by weight oily material, preferably 1-40 %, and 0.5-20 % by weight emulsifier.
5. Use according to claim 3 or 4, wherein the galactolipid material consists of at least 50 % by weight digalactosyldiacylglycerols and a remainder of other polar lipids, preferably in an amount of 1.0-5.0 % by weight.
6. Use according to any of claims 3-5, wherein the galactolipid material consists of 50-70 % by weight digalactosyldiacylglycerols and 30-50 % other polar lipids.
7. Use according to claim 3 or 4, wherein the galactolipid material is a fractionated oat oil which contains 10-90 % by weight polar lipids and a remainder of non-polar lipids, preferably in an amount of 2.0-10 % by weight.

8. Use according to any of claims 3, 4 and 7, wherein the galactolipid material is a fractionated oat oil which contains 40-60 % by weight polar lipids and a remainder of non-polar lipids.

5

9. Use according to any of claims 3-8, wherein the active substance is a pharmacologically active substance.

10. Use according to any of claims 3-8, wherein the active substance is a cosmetological substance.

11. Use according to any of claims 3-8, wherein the active substance is a moisturiser.

12. Topical cream base giving an incorporated substance a sustained effect, comprising in % by weight

	Oily material	10.0-30.0 %
	Galactolipid emulsifier	0.5-5 %
	Thickener	2.0-10.0 %
20	Preservative	0.1-1.0 %
	Water	ad 100 %

13. Topical formulation having a prolonged moisturising effect, comprising in % by weight

25	Glycerol	1.0-5.0 %
	Oily material	10.0-30.0 %
	Galactolipid emulsifier	0.5-5 %
	Thickener	2.0-10.0 %
	Preservative	0.1-1.0 %
30	Water	ad 100 %

35



14. Topical formulation having a prolonged anti-inflammatory effect, comprising in % by weight

	Hydrocortisone	0.5-1.5 %
	Oily material	10.0-30.0 %
5	Galactolipid emulsifier	0.5-5 %
	Thickener	2.0-10.0 %
	Preservative	0.1-1.0 %
	Water	ad 100 %

10 15. Topical formulation having a prolonged anti-inflammatory effect, comprising in % by weight

	Betamethasone	0.01-0.5 %
	Oily material	10.0-30.0 %
	Galactolipid emulsifier	0.5-5 %
15	Thickener	2.0-10.0 %
	Preservative	0.1-1.0 %
	Water	ad 100 %

20 16. Topical formulation having a prolonged anti-psoriatic effect, comprising in % by weight

	13-hydroxy-linoleic acid	0.001-0.1 %
	Oily material	10.0-30.0 %
	Galactolipid emulsifier	0.5-5 %
	Thickener	2.0-10.0 %
25	Preservative	0.1-1.0 %
	Water	ad 100 %

30 17. Use of a topical formulation according to claim 1 or 2, wherein the incorporated compound is a moisturising compound for the preparation of a medicament for prophylaxis or treatment of atopic dermatitis.

35 18. Use of a topical formulation according to claim 1 or 2, wherein the compound is a corticosteroid for the preparation of a medicament for treatment of skin inflammation.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 99/00347

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: A61K 9/107, A61K 7/00, A61K 47/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPI, EPODOC, EMBASE, MEDLINE, CAPLUS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 9520943 A1 (KARLSHAMNS LIPIDTEKNIK AB), 10 August 1995 (10.08.95), page 4, line 20 - page 7, line 11, claims --	1-15
X	EP 0647443 A1 (L'OREAL), 12 April 1995 (12.04.95), claims -- -----	1-4,9-11

☐ Further documents are listed in the continuation of Box C.☒ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

16 June 1999

Date of mailing of the international search report

03 -07- 1999

Name and mailing address of the ISA/

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# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/SE 99/00347

## Box I Observations where certain claims were found uns archable (Continuation of item 1 f first sheet)

This international search report has not been established in respect f certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 3-8 (partly), 9  
because they relate to subject matter not required to be searched by this Authority, namely:  
**see next page**
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such  
an extent that no meaningful international search can be carried out, specifically:

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all  
searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment  
of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report  
covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is  
restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International application N .  
PCT/SE 98/00347

Remark: Claims 3-8 (partly) 9 are directed to methods of treatment of the human or animal body by surgery or by therapy/ diagnostic methods practised on the human or animal body/Rule 39.1(iv). Nevertheless, a search has been executed for these claims. The search has been based on the alleged effects of the compounds/ composition.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

01/06/99

International application No.

PCT/SE 99/00347

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Form PCT/ISA/210 (patent family annex) (July 1992)

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

01/06/99

International application No.

PCT/SE 99/00347

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